# **Evaluating Use Characteristics for the Oncotype Dx 21-Gene Recurrence Score and Concordance With Chemotherapy Use in Early-Stage Breast Cancer**

By Clara Chen, MS, Rahul Dhanda, PhD, Wan-Yu Tseng, MS, Michael Forsyth, RPh, MBA, and Debra A. Patt, MD

McKesson Specialty Health, The Woodlands; Texas Oncology, Austin, TX; and Rocky Mountain Cancer Center, Greenwood Village, CO

### Abstract

**Purpose:** Oncotype Dx 21-gene assay recurrence score (RS) predicts recurrence of early-stage breast cancer (ESBC). We investigated whether patient, tumor, or practice characteristics drive its use and explored Oncotype DX RS and chemotherapy use in subgroups.

**Methods:** Patients with ESBC with documented estrogen receptor–positive, lymph node–negative, human epidermal growth factor receptor 2–negative tumors registered within McKesson Specialty Health's iKnowMed electronic health record were included. Patient and practice characteristics by region and size were analyzed. The association between Onco*type* DX RS value and use of chemotherapy were assessed.

**Results:** The study included 6,229 patients. Of these, 1,822 (29%) had an Onco*type* DX RS result. Test use was 36%, 38%,

### Introduction

The development of genomic assays prognostic for the risk of recurrence of early-stage breast cancer (ESBC) and predictive of the likelihood of a patient benefiting from chemotherapy has helped refine treatment decisions in patients with ESBC. Genomic assays build on previous efforts to individualize treatment, such as receptor assays, tumor size, tumor grade, and others. Such assays help personalize treatment so that each patient will receive the most beneficial treatment for his or her individual cancer scenario. This might entail receiving more or less chemotherapy, depending in what has been determined to be the most appropriate for the individual. Therefore, physician and patient adjuvant treatment decision making is positively affected by the use of genomic assays.<sup>1</sup>

Historically, chemotherapy has been recommended in the United States and Europe for most women with primary breast cancer tumor size > 1 cm, with no consideration given as to whether axillary lymph nodes are involved.<sup>2-5</sup> Chemotherapy is used in approximately 75% of women less than 50 years of age, in 30% of women between 50 and 69, and in approximately 5% of women  $\ge 70.^6$ 

Adjuvant hormonal therapy is adequate treatment for approximately 85% of women with early-stage estrogen receptor– positive (ER+) breast cancer.<sup>7</sup> Fewer than 20% of women with lymph node–negative (LN-), ER+ breast cancer treated with 34%, 25%, and 6%, respectively, in patients age  $\leq$  45, 46-55, 56-65, 66-75, and  $\geq$  76 years; 33%, 25%, and 9% in patients with Eastern Cooperative Oncology Group performance status of 0, 1, and  $\geq$  2; 7%, 9%, 25%, 38%, 27%, and 10% in T1mic, T1a, T1b, T1c, T2, and T3 tumors; and 26%, 32%, and 33% for grades 1, 2, and 3 tumors. Of the 1,822 patients with available Onco*type* DX RS, adjuvant chemotherapy use was 6%, 42%, and 84% in the low-, intermediate-, and high-risk groups.

**Conclusion:** Patients who were younger, had better ECOG performance status, or had higher grade tumors were more likely to undergo RS testing. It appears that the RS test may have influenced the decision about whether to administer adjuvant chemotherapy: a low RS score was associated with lower chemotherapy use and a high RS score was associated with higher chemotherapy use.

tamoxifen will have a recurrence of cancer within 10 years.<sup>8</sup> Because many women can be cured by local and/or hormone therapy, many may undergo chemotherapy unnecessarily.

To better discern which patients will benefit from chemotherapy and which can safely avoid it, the Oncotype Dx assay (Genomic Health, Redwood, CA) was developed. It is a 21gene assay capable of providing an individualized prediction of the benefit of chemotherapy and the rate of cancer recurrence at 10 years. With this test, cancer treatment can be planned that will be most effective for an individual, on the basis of a genomic analysis of the person's tumor tissue. The test is a clinically validated<sup>9</sup> genomic test that provides a recurrence score (RS), which can be used to predict the chance of recurrence in patients with newly diagnosed early stage LN-, ER+ breast cancer and in postmenopausal women with lymph nodepositive (LN+), hormone receptor-positive (HR+) invasive breast cancer. It is a proven, multigene expression assay that is incorporated into ASCO10 and National Comprehensive Cancer Network<sup>11</sup> guidelines.

The Onco*type* DX RS is divided into low-, intermediate-, and high-risk categories. These categories help to provide a more accurate picture of which patients are more likely to benefit from chemotherapy. Studies<sup>12-20</sup> have analyzed and validated the use of the RS for predicting distant and locoregional recurrence of breast cancer. By analyzing the RS results in 668

Downloaded from jop.ascopubs.org by Debra Patt on March 17, 2013 from 76.253.75.3 Copyright © 2013 American Society of Clinical Oncology. All rights reserved. of 675 tumor blocks, Paik et al<sup>8</sup> determined that patients could be categorized as being at low (51%), intermediate (22%), and high risk (27%) of distant recurrence of cancer. Kaplan-Meier analysis indicated that the risk of 10-year recurrence was 6.8% in the low-risk, 14.3% in the intermediate-risk, and 30.5% in the high-risk patients.<sup>8</sup>

By identifying low-risk patients unlikely to benefit from chemotherapy, the test helps to prevent unnecessary chemotherapy use; additional chemotherapy costs; and chemotherapy-associated adverse events including toxicity, hospitalization, reduced productivity and quality of life, and possibly even death. Meanwhile, high RS indicates a greater magnitude of benefit from chemotherapy.<sup>21,22</sup>

The goals of this study were to determine whether patient or disease characteristics drive Onco*type* DX RS use, to evaluate the association between RS and chemotherapy use, and to determine the concordance between RS use and overall chemotherapy administration.

### Methods

This was a retrospective observational cohort study that used the iKnowMed (iKM) electronic health record (EHR) system of McKesson Specialty Health (MSH) and The US Oncology Network (USON) to address research questions. Patients diagnosed between January 2008 and June 2009 with stages I-II, LN–, estrogen receptor–positive (ER+), or human epidermal growth factor receptor 2–negative (HER2-) breast cancer were included in the study.

ER+ status is notated in our health records on the basis of at least 1% staining of ER in the invasive component of the cancer. HER2– status is based on immunohistochemistry or fluorescence in situ hybridization and noted on the EHR by the treating physician. These criteria are clinician-validated information.

iKM is a proprietary oncology-specific EHR system that is currently adopted by more than 80% of USON-affiliated sites. It has been previously used as the basis of research analyses.<sup>23-26</sup> All patients enrolled in clinical trials during the study period were excluded, as were patients treated for another cancer. Patients with missing ER and HER2 values and nodal status in their chart were also excluded (Figure 1).

Oncotype RS for breast cancer patients is documented in a standardized fashion in iKM. In the rare instances that patients have missing RS data, text mining with a key word search for "Oncotype" or "recurrence score" was conducted in free-text areas, such as physician notes or nurse notes, to determine whether an Oncotype DX was ordered but the RS was not reported. No additional RSs were identified in free-text notes. The majority of those with Oncotype or RS not identified in the free-text notes but with RS entered into iKM failed the RS test because of insufficient tissue, and a few failed for reasons that were not described.

RS documentation and results were abstracted via programmatic query of the iKM EHR system. RSs were segmented into low (<18), intermediate (18-30), and high ( $\geq$  31) risk groups. Patients were further characterized with respect to age at diagnosis, baseline Eastern Cooperative Oncology Group performance status (ECOG PS), tumor size, tumor grade, geographic region, and receipt of chemotherapy during the study period. Age was segmented into five categories,  $\leq 45$ , 46 to 55, 56 to 65, 66 to 75, and  $\geq$  76; tumor size was grouped into three categories,  $\leq 2.0$  cm, > 2.0 cm and  $\leq 5.0$  cm, and > 5.0 cm; and tumor grade was grouped into grades 1, 2, and 3. Patients were also divided by geographic region into midwest, northeast, south and west (the US Census Regions and Divisions). This study was conducted after it was approved by the institutional review boards of MSH and USON.

Patients were described at baseline regarding demographic and clinical characteristics overall and stratified by RS utilization (yes/no). To identify factors associated with RS use, comparisons were made between patients with and without a documented RS test. Among patients with available RS results, comparisons were made by chemotherapy status (yes/no) to evaluate the association between RS and the likelihood of receiving chemotherapy. To further explore factors associated with treatment decisions, we conducted stratified analyses of risk categories (ie, low, intermediate, high) by RS to determine whether other clinical factors (age, tumor grade, tumor size, and baseline ECOG PS) were associated with chemotherapy use in patients in the various risk categories.

The statistical significance of observed associations was evaluated using the  $\chi^2$  test, and the Cochran-Mantel-Haenszel test. Homogeneity of association across risk groups was evaluated using the Breslow-Day test. Statistical analyses were conducted with SAS version 9.2. All statistical tests were interpreted at alpha = 0.05, two-tailed.

### Results

In this study, 6,229 patients with EBC were identified who were eligible for RS testing. Of these, 1,822 (29%) had available RS results; 4,407 (71%) did not (Table 1; Figure 1). In patients who underwent RS testing, use was highest in those between 46 and 65 years of age (1,170; 64%), with better ECOG PS of 0 and 1 (1,632; 90%), and smaller tumor (1,462; 80%). Approximately 81% of patients (1,479) had tumor grade  $\leq 2$ .

With regard to tumor size, patients with a T1c tumor (2,626) more commonly underwent RS testing (1,011; 38%). RS use was greatest among patients with tumors on the borderline of chemotherapy choice, as dictated by guidelines.<sup>27</sup> These include T1b, T1c, and T2 tumors. Use decreased in patients with very small (T1mic and T1a) and very large (T3) tumors, for whom decisions regarding chemotherapy were more likely to be based on size, given favorable pathology.

Of the 983 patients with a low RS, 6% (95% CI, 4% to 7%) received chemotherapy; of the 668 patients with an intermediate RS, 42% (95% CI, 38% to 46%) received chemotherapy; of the 171 patients with a high RS, 84% (95% CI, 77% to 89%) received chemotherapy. An association between RS and chemotherapy use was observed in our study (P < .001; Appendix Table A1, online only).

Patients age  $\geq$  76 years had decreased chemotherapy use across low-, intermediate-, and high-RS groups (0%, 20%, and 50%, respectively; Appendix Table A2, online only). Patients



Figure 1. Patient flow diagram. ER, estrogen receptor; HER2, human epidermal growth factor receptor 2; RS, recurrence score.

with smaller tumors had decreased chemotherapy use across low-, intermediate-, and high-RS groups (4%, 39%, and 84%, respectively), whereas those with larger tumors had increased chemotherapy use across low, intermediate, and high RS groups (38%, 67%, and 100%, respectively; Appendix Table A3, online only).

Patients with lower grade tumors had decreased chemotherapy use, whereas those with higher grade tumors had increased chemotherapy use in the low and intermediate RS groups. Among the patients with high RS, chemotherapy use was the same for those grades 2 and 3 tumors (84%), but higher for those with grade 1 tumors (90%). This may be because fewer patients were identified with high RS and lower grade tumors (Appendix Table A4, online only). When stratified by RS, ECOG PS and geographic region did not have a significant impact on the decision regarding chemotherapy utilization (Appendix Tables A5 and A6, only only).

Adjusted for other covariates (Table 2), for a patient with a low-risk RS, the odds of receiving chemotherapy were 23.2% (95% CI, 0.17% to 0.317%) of the odds for those without a valid RS test. Compared to patients without a valid RS, those with an intermediate-risk RS were 3.19 (95% CI, 2.59 to 3.93) times more likely to undergo chemotherapy, and those with a high-risk RS were 23.79 (95% CI, 14.36 to 39.41) times more likely to undergo chemotherapy.

In Appendix Figure A1 (online only), green bubbles represent original continuous RS, and blue bubbles represent RS in each risk group. The size of the bubbles represents the sample size of each specific score. The significant increasing trends in chemotherapy use can be observed in both original RS and average RS, which demonstrate that RS was highly associated with chemotherapy use.

### Discussion

The data suggest that the RS test is generally ordered to help physicians decide whether a patient should receive chemotherapy. However, in certain eligible patients, the decision whether to use chemotherapy may have been based on other factors, such as tumor type, tumor size, poor ECOG PS, patient age, or patient's or physician's treating preference, in which case the RS test may not be ordered, although a wide distribution of RSs has been reported across age, grade, and tumor size.<sup>28</sup>

Chemotherapy use is not always associated with Onco*type* DX RS. The most obvious reason for this is that the decision whether to use chemotherapy is a choice made between a doctor and a patient on the basis of many variables. Despite being at low risk, a younger patient with a large tumor may perceive that she would be more comfortable receiving chemotherapy in hopes of risk reduction, despite the intent to follow the RS value

### Table 1. Patient Characteristics at Baseline

Characteristics		With Recu Score (n =	urrence = 1,822)	Without Re Score (n	ecurrence = 4,407)	
	Total (N = 6,229) No.	No.	%	No.	%	Р
Age at diagnosis, years						
≤ 45	660	238	36	422	64	
46-55	1,491	569	38	922	62	
56-65	1,758	601	34	1,157	66	
66-75	1,402	355	25	1,047	75	
≥ 76	918	59	6	859	94	< .001
ECOG PS						
0	3,773	1,250	33	2,523	67	
1	1,533	382	25	1,151	75	
≥ 2	159	15	9	144	91	< .001
Missing	764	175	23	589	77	
Tumor size, original						
0	3	_	_	3	100	
DCIS	5	_	_	5	100	
1mic	87	6	7	81	93	
1a	505	46	9	459	91	
1b	1,602	399	25	1,203	75	
1c	2,626	1,011	38	1,615	62	
2	1,264	344	27	920	73	
3	124	12	10	112	90	
4a	1	_	_	1	100	
4b	1	_	_	1	100	
Missing	11	4	36	7	64	_
Tumor size, grouped, cm						
≤ 2 cm	4,828	1,462	30	3,366	70	
2-5 cm	1,264	344	27	920	73	
> 5 cm	126	12	10	114	90	< .001
Missing	11	4	36	7	64	
Tumor grade						
1	2,067	535	26	1,532	74	
2	2,945	944	32	2,001	68	
3	829	277	33	552	67	< .001
Missing	388	66	17	322	83	
Region						
Midwest	817	236	29	581	71	
Northeast	335	93	28	242	72	
South	3.359	951	28	2.408	72	
	0,000	501	-0	2,100	<i>i L</i>	

Abbreviations: DCIS, ductal carcinoma in situ; ECOG PS, Eastern Cooperative Oncology Group performance status.

in determining the treatment plan. Conversely, an older patient with significant comorbidity and a high RS might make an educated choice to forego chemotherapy despite the high RS. Of the 6,229 patients included in our study, RS results were obtained for 1,822 (29%) of these patients; 983, 668, and 171 patients had low, intermediate, and high RS, respectively. Of these, 6%, 42%, and 84% of patients in the low, intermediate, and high RS groups, respectively, received adjuvant chemotherapy. Three-way contingency tables demonstrate that chemotherapy administration for each category was higher among patients who were younger, had better ECOG PS, and had larger and higher grade tumors.<sup>29</sup>

The RS value was associated with the use of current chemotherapy regimens in USON; chemotherapy use was high in patients with a high RS and low in patients with a low RS. In the intermediate RS group, chemotherapy use was 42%. Practice patterns of chemotherapy use in the intermediate RS range are variable, and further insight regarding the benefit of chemother-

Table 2	Multivariable	Logistic I	Regression	Analy	/sis
---------	---------------	------------	------------	-------	------

Variable	Estimate	OR Estimate	95% CI for OR Estimate	Р
Recurrence score				
No test	_	_	_	
Low	-2.178	0.232	0.170 to 0.317	
Intermediate	0.443	3.190	2.588 to 3.932	
High	2.452	23.791	14.364 to 39.406	< .001
Age, years				
≤ 45	_	_	_	
46-55	0.869	0.586	0.457 to 0.751	
56-65	0.319	0.338	0.263 to 0.435	
66-75	-0.359	0.172	0.129 to 0.229	
≥ 76	-2.233	0.026	0.015 to 0.046	< .001
ECOG PS				
0	_	_	_	
1	0.572	1.138	0.943 to 1.373	
≥ 2	-1.015	0.233	0.096 to 0.567	.0017
Tumor size				
< 2 cm	_	_	_	
2-5 cm	0.041	3.340	2.771 to 4.026	
> 5 cm	1.124	9.876	6.157 to 15.841	< .001
Tumor grade				
1	_	_	-	
2	-0.141	1.799	1.470 to 2.201	
3	0.869	4.936	3.862 to 6.308	< .001

NOTE. One thousand eighty-seven patients with missing information (baseline ECOG PS, tumor size, or tumor grade) were excluded from the modeling. Five thousand one hundred forty-two patients were included in the multivariable logistic regression analysis.

Abbreviation: ECOG PS, Eastern Cooperative Oncology Group performance status; OR, odds ratio.

apy use in this cohort should come from the Taylor-Rx trial, which should report results in 2015.

Results of our study provide evidence that RS influences the decision-making process of whether to incorporate chemotherapy into the care of patients with ESBC seen in the outpatient community setting. In a studyinvolving 17 medical oncologists, Lo et al1 found that RS had an impact on medical oncologists' adjuvant treatment recommendations. Eighty-nine (96%) of 93 patients completed questionnaires before and after undergoing RS testing. After the study, 24 (27%) of the patients changed their treatment decisions. Nine (10.1%) of these changed their decision from chemotherapy plus hormone therapy (CHT) before the test to hormone treatment (HT) alone after the test. For 20 patients, physicians recommended CHT treatment before the test, but after the test they changed their recommendation for all 20 patients to HT treatment only. As a result of RS testing, treatment recommendations changed for 22.5% of patients on the basis of individual test results. A recent meta-analysis of 912 patients conducted by Hornberger et al<sup>30</sup> suggested a similar rate in change of treatment recommendations; 37% of decisions were changed after the RS was obtained. Of interest in this analysis is that 4% of patients were originally

scheduled for HT only but were rated as high risk according to their RS. The result of the RS test changed the decision to include chemotherapy, because the test result indicated high risk for recurrence and that the tumors would respond favorably to chemotherapy. This is an important consideration, because the introduction of genomics may allow further consideration of which treatment is optimal based on tumor biology where there may be both certainty and uncertainty in clinical-pathological variables before treatment recommendations are made. For example, in the B-20 results,<sup>12</sup> 16% of patients with a tumor less than 1 cm had a high RS. Similar findings based on analysis of two clinical variables were found in the study by Leiberman et al.<sup>31</sup> Analyses were conducted in a 2  $\times$  2 format by age, size, and grade and suggested both low- and high-risk RS in patients for whom the results may have indicated a different approach.

Limitations of our study include the lack of capture of RS use if the sample for testing was obtained at a USON clinic that did not use the iKM EHR system or RS results were not documented in the patient's EHR. This may be the case when surgical oncologists order the test in advance of referral to the medical oncologist. Patients also may actually undergo the RS test; however, results might be sent to the physician's office via fax and saved in the iKM EHR as a PDF attachment, from which data are less easily retrievable. We conducted text mining of physicians' notes for the keywords "Oncotype" or "recurrence score (RS)," but no additional RS values were found. However, this does not mean that additional RS tests were not performed, only that they were not found using these keywords. Therefore, the use rate of the RS is likely underreported in this article. Another limitation is that there is a lack of data regarding the reliability and validity of the iKM database. In the future, as data are extracted, perhaps such data will emerge, as they have for such data sources as SEER. Last, the iKM data are collected with an intent-to-treat approach, meaning the data are not collected for research purposes but for clinical practice reasons. This may impede the standardization of the data collection methods and instruments and the reporting practices of the physician.

In conclusion, our study analysis using iKM revealed that only 29% of patients eligible for Onco*type* Dx RS testing actually underwent the test. These patients were younger and had better ECOG PS. The data suggest that the RS score influenced the decision about whether to use chemotherapy. This finding is highly significant and demonstrates that the results of the RS test strongly influenced physician and patient decisions as to whether the patient should undergo adjuvant chemotherapy. Future studies should more fully evaluate the independent and interactive associations between patient and practice characteristics, Onco*type* Dx RS use, and subsequent chemotherapy.

#### Acknowledgment

Supported by Genomic Health. Presented in part at the American College of Clinical Oncology Conference, Chicago, IL, June 3-7, 2011.

#### Authors' Disclosures of Potential Conflicts of Interest

Although all authors completed the disclosure declaration, the following author(s) and/or an author's immediate family member(s) indicated a financial or other interest that is relevant to the subject matter under consideration in this article. Certain relationships marked with a "U" are those for which no compensation was received; those relationships marked with a "C" were compensated. For a detailed description of the disclosure categories, or for more information about ASCO's conflict of interest policy, please refer to the Author Disclosure Declaration and the Disclosures of Potential Conflicts of Interest section in Information for Contributors.

Employment or Leadership Position: Debra A. Patt, US Oncology Network/McKesson Specialty Health (C) Consultant or Advisory Role: None Stock Ownership: None Honoraria: None Research Funding: None Expert Testimony: None Other Remuneration: None

#### Author Contributions

Conception and design: Clara Chen, Rahul Dhanda, Debra A. Patt Administrative support: Rahul Dhanda, Michael Forsyth, Debra A. Patt

#### References

1. Lo SS, Mumby PB, Norton J, et al: Prospective multicenter study of the impact of the 21-gene recurrence score assay on medical oncologist and patient adjuvant breast cancer treatment selection. J Clin Oncol 28:1671-1676, 2010

2. Bast RC Jr, Ravdin P, Hayes DF, et al: 2000 update of recommendations for the use of tumor markers in breast and colorectal cancer: Clinical practice guidelines of the American Society of Clinical Oncology. J Clin Oncol 19:1865-1878, 2001

3. Eifel P, Axelson JA, Costa J, et al: National Institutes of Health Consensus Development Conference Statement: Adjuvant therapy for breast cancer, November 1-3, 2000. J Natl Cancer Inst 93:979-989, 2001

4. Carlson RW, Edge SB, Theriault RL: NCCN: Breast cancer. Cancer Contr 854-861 (suppl 2), 2001

5. Goldhirsch A, Wood WC, Gelber RD, et al: Meeting highlights: Updated international expert consensus on the primary therapy of early breast cancer. J Clin Oncol 21:3357-3365, 2003

 Sparano JA: TAILORX: Trial assigning individualized options for treatment (Rx). Clin Breast Cancer 7:347-350, 2006

7. Early Breast Cancer Trialists' Collaborative Group: Tamoxifen for early breast cancer: An overview of the randomized trials. Lancet 351:1451-1467, 1998

8. Paik S, Shak S, Tang G, et al: A multigene assay to predict recurrence of tamoxifen-treated, node-negative breast cancer. N Engl J Med 351:2817-2826, 2004

9. Genomic Health: What is the Oncotype DX test? http://www.oncotypedx. com/Breast/PatientsCaregiversInvasive

**10.** Harris L, Fritsche H, Mennel R, et al: American Society of Clinical Oncology 2007 update of recommendations for the use of tumor markers in breast cancer. J Clin Oncol 25:5287-5312, 2007

**11.** National Comprehensive Cancer Network: NCCN clinical practice guidelines in oncology, breast cancer, (Version 2.2008) http://www.nccn.org/professionals/ physician\_gls/f\_guidelines.asp#breast

12. Mamounas EP, Tang G, Fisher B, et al: Association between the 21-gene recurrence score assay and risk of locoregional recurrence in node-negative, estrogen receptor-positive breast cancer: Results from NSAPB B-14 and NSABP B-20. J Clin Oncol 29:1677-1683, 2010

**13.** Habel LA, Shak S, Jacobs MK, et al: A population-based study of tumor gene expression and risk of breast cancer death among lymph node-negative patients. Breast Cancer Res 8:R25, 2006

14. Lyman GH, Kuderer NM: Gene expression profile assays as predictors of recurrence-free survival in early-stage breast cancer: A metaanalysis. Clin Breast Can 7:372-379, 2006

15. Swain SM: A step in the right direction. J Clin Oncol 24:3717-3718, 2006

**16.** Paik S: Development and clinical utility of a 21-gene recurrence score prognostic assay in patients with early breast cancer treated with tamoxifen. Oncologist 12:631-635, 2007

Provision of study materials or patients: Clara Chen

Collection and assembly of data: Clara Chen

Data analysis and interpretation: Clara Chen, Rahul Dhanda, Wan-Yu Tseng, Michael Forsyth, Debra A. Patt

Manuscript writing: Clara Chen, Rahul Dhanda, Wan-Yu Tseng, Debra A. Patt

Final approval of manuscript: All authors

Corresponding author: Debra A. Patt, MD, Texas Oncology, Austin Central Physician Network, 6204 Balcones, Austin, TX 78731; e-mail: Debra.Patt@usoncology.com.

DOI: 10.1200/JOP.2012.000638; published online ahead of print at jop.ascopubs.org on February 12, 2013.

**17.** Albain KS, Barlow WE, Shak S, et al: Prognostic and predictive value of the 21-gene recurrence score assay in postmenopausal women with node-positive, oestrogen-receptor-positive breast cancer on chemotherapy: A retrospective analysis of a randomised trial. Lancet Oncol 11:55-65, 2010

**18.** Oratz R, Paul D, Cohn AL, et al: Impact of a commercial reference laboratory test recurrence score on decision making in early-stage breast cancer. J Oncol Pract 3:182-186, 2007

**19.** Asad J, Jacobson AF, Estabrook A, et al: Does RS recurrence score affect the management of patients with early-stage breast cancer? Am J Surg 196:527-529, 2008

 ${\bf 20.}~$  Simon R: Roadmap for developing and validating the rapeutically relevant genomic classifiers. J Clin Oncol 23:7332-7341, 2005

**21.** Cartwright TH, Yim YM, Yu E, et al: Survival outcomes of bevacizumab beyond progression in metastatic colorectal cancer patients treated in US Community Oncology. Clin Colorectal Cancer [epub ahead of print on June 21, 2012]

**22.** Paik S, Tang G, Shak S, et al: Gene expression and benefit of chemotherapy in women with node-negative, estrogen receptor-positive breast cancer. J Clin Oncol 24:3726-3734, 2006

**23.** Tang G, Shak S, Paik S, et al: Comparison of the prognostic and predictive utilities of the 21-gene recurrence score assay and Adjuvant! For women with node-negative, ER-positive breast cancer: Results from NSABP B-14 and NSABP B-20. Breast Cancer Res Treat 127:133-142, 2011

24. Muehlenbein CE, Hoverman JR, Gruschkus, et al: Evaluation of the reliability of electronic medical record data in identifying comorbid conditions among patients with advanced non-small cell lung cancer. J Clin Epidemiol 2011:983271, 2011 Epub 2011 May

**25.** Nadler E, Yu E, Ravelo A, et al: Bevacizumab treatment to progression after chemotherapy: Outcomes from a U.S. community practice network. Oncologist 16:486-496, 2011

**26.** Beveridge R, Satram-Hoang S, Sail K, et al: Economic impact of disease progression in follicular non-Hodgkin lymphoma. Leuk Lymphoma 52:2117-2123, 2011

27. Carlson RW, Brown E, Burstein HJ, et al: NCCN Task Force report: Adjuvant therapy for breast cancer. J Natl Compr Canc Netw 4:S1-S26, 2006 (suppl 1)

**28.** Klang N, Liebermann S, Rizel N, et al: The recurrence score and chemotherapy treatment in node-positive, ER+ early-stage breast cancer patients in Israel. J Clin Oncol 28:15s, 2010 (suppl; abstr 6075)

**29.** Kazzaz DR, Chen C, Shankelton MT, et al: Evaluation of relation between Onco*type* Dx recurrence score and adjuvant chemotherapy administration. J Clin Oncol 9, 2011 (suppl; abstr e11105)

**30.** Hornberger J, Chien R, Krebs K, et al: US insurance program's experience with a multigene assay for early-stage breast cancer. J Oncol Pract 7:e38s-e45s, 2011 (suppl 3)

**31.** Lieberman N, Baehner FL, Soussan-Gutman L, et al: Evaluation of recurrence score and traditional clinicopathologic assessments in a large ER-positive, lymph node-negative patient cohort. J Clin Oncol 29:78s, 2011(suppl; abstr 632)

## Appendix

### Table A1. Chemotherapy Decision by Recurrence Score

		Chemoth	erapy Use		
Recurrence Score Value	n	Yes	No	Proportion of Chemotherapy	95% CI
Low risk	983	56	927	6	4 to 7
Intermediate risk	668	281	387	42	38 to 46
High risk	171	143	28	84	77 to 89

P < .001.

### Table A2. Chemotherapy Treatment Decision by Age Group and Recurrence Score

			With Chemother	rapy
Recurrence Score and Age Group	Total No.	No.	%	95% CI (%)
Low risk				
All	983	56	6	4 to 7
≤ 45	123	11	9	5 to 15
46-55	318	27	8	6 to 12
56-65	321	16	5	3 to 8
66-75	190	2	1	0 to 4
≥ 76	31	-		-
Intermediate risk				
All	668	281	42	38 to 46
≤ 45	92	61	66	56 to 76
46-55	210	95	45	38 to 52
56-65	217	83	38	32 to 45
66-75	129	38	29	22 to 38
≥ 76	20	4	20	6 to 44
High risk				
All	171	143	84	77 to 89
≤ 45	23	21	91	72 to 99
46-55	41	35	85	71 to 94
56-65	63	52	83	71 to 91
66-75	36	31	86	71 to 95
≥ 76	8	4	50	16 to 84
No test				
All	4,407	794	18	17 to 19
≤ 45	422	193	46	41 to 51
46-55	922	286	31	28 to 34
56-65	1,157	197	17	15 to 19
66-75	1,047	104	10	8 to 12
≥ 76	859	14	2	1 to 3

	W	/ith Chemotherapy		
Recurrence Score and Tumor Size	Total No.	No.	%	95% CI (%)
Low risk				
All	982	56	6	4 to 7
$\geq$ 2 cm	811	35	4	3 to 6
2-5 cm	163	18	11	7 to 17
> 5 cm	8	3	38	9 to 76
Intermediate risk				
All	665	281	42	38 to 46
$\leq$ 2 cm	530	209	39	35 to 44
2-5 cm	132	70	53	44 to 62
> 5 cm	3	2	67	9 to 99
High risk				
All	171	143	84	77 to 89
$\leq$ 2 cm	121	102	84	77 to 90
2-5 cm	49	40	82	68 to 91
> 5 cm	1	1	100	3 to 100
No test				
All	4,400	794	18	17 to 19
$\leq$ 2 cm	3,366	366	11	10 to 12
2-5 cm	920	360	39	36 to 42
> 5 cm	114	68	60	50 to 69

### Table A3. Chemotherapy Treatment Decision by Recurrence Score and Tumor Size

NOTE. Eleven patients with missing tumor size (four with recurrence score, seven without recurrence score) were excluded.

### Table A4. Chemotherapy Treatment Decision by Recurrence Score and Tumor Grade

			With Chemotherapy	
Recurrence Score and Tumor Grade	Total No.	No.	%	95% CI (%)
Low risk				
All	945	54	6	4 to 7
1	354	12	3	2 to 6
2	516	31	6	4 to 8
3	75	11	15	8 to 25
Intermediate risk				
All	646	273	42	38 to 46
1	171	60	35	28 to 43
2	359	150	42	37 to 47
3	116	63	54	45 to 64
High risk				
All	165	139	84	78 to 89
1	10	9	90	56 to 100
2	69	58	84	73 to 92
3	86	72	84	74 to 91
No test				
All	4,085	711	17	16 to 19
1	1,532	115	8	6 to 9
2	2,001	352	18	16 to 19
3	552	244	44	40 to 48

NOTE. Three hundred eighty-five patients with missing tumor grade (63 with recurrence score, 322 without) were excluded.

### Table A5. Treatment Decision by Recurrence Score and ECOG PS

			With Chemother	ару
Recurrence Score and ECOG PS	Total No.	No.	%	95% CI (%)
Low risk				
All	879	53	6	5 to 8
0	683	45	7	5 to 9
1	192	8	4	2 to 8
≥ 2	4	_		_
Intermediate risk				
All	609	261	43	39 to 47
0	450	192	43	38 to 47
1	153	67	44	36 to 52
≥ 2	6	2	33	4 to 78
High risk				
All	159	134	84	78 to 90
0	117	99	85	77 to 91
1	37	31	84	68 to 94
≥ 2	5	4	80	28 to 99
No test				
All	3,818	707	19	18 to 20
0	2,523	491	19	18 to 21
1	1,151	213	19	16 to 21
≥2	144	3	2	0 to 6

NOTE. Seven hundred sixty-four patients with missing tumor grade (175 with recurrence schore, 589 without recurrence score) were excluded. Abbreviation: ECOG PS, Eastern Cooperative Oncology Group performance status.

### Table A6. Treatment Decision by Recurrence Score and Region

			With Chemotherapy	
Recurrence Score and Region	Total	No.	%	95% CI (%)
Low risk				
All	983	56	6	4 to 7
Midwest	134	11	8	4 to 14
Northeast	49	3	6	1 to 17
South	535	28	5	4 to 7
West	265	14	5	3 to 9
Intermediate risk				
All	668	281	42	38 to 46
Midwest	80	33	41	30 to 53
Northeast	35	12	34	19 to 52
South	328	134	41	35 to 46
West	225	102	45	39 to 52
High risk				
All	171	143	84	77 to 89
Midwest	22	15	68	45 to 86
Northeast	9	9	100	66 to 100
South	88	69	78	68 to 86
West	52	50	96	87 to 100
No test				
All	4,407	794	18	17 to 19
Midwest	581	110	19	16 to 22
Northeast	242	39	16	12 to 21
South	2,408	468	19	18 to 21
West	1,176	177	15	13 to 17



Figure A1. Chemotherapy rate by recurrence score (RS). Green bubbles represent original continuous RS, and blue bubbles represent RS in each risk group. The size of bubbles represents the sample size of each specific score.